

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re divisional patent application of 09/951,778 filed on September 14, 2001

Mark S. Zediker et al.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: TAILORED INDEX SINGLE MODE OPTICAL AMPLIFIERS AND DEVICES AND
SYSTEMS INCLUDING SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. All of these references were either cited or submitted in parent Application No. 09/951,778 and thus copies of these references are not provided in accordance with 37 C.F.R. §1.98(d).

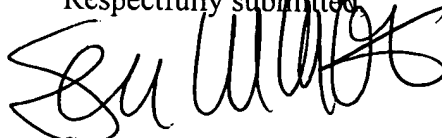
This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Rule 53(b) Divisional of Application No. 09/951,778
filed September 14, 2001

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's
Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Sean McGinn', written over the typed name.

Sean M. McGinn, Esq.
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Date:

12/17/03
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.
NUV.006DIV

SERIAL NO.
Not Yet Assigned

APPLICANT(S)
Zediker et al.

FILING DATE
Concurrently Herewith

GROUP
Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,694,408	12/1997	Bott et al.			
	5,717,516	02/1998	Klein et al.			
	5,729,375	03/1998	Klein et al.			
	5,870,518	02/1999	Haake et al.			
	5,881,198	03/1999	Haake			
	5,896,219	04/1999	Wandernoth			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Zediker, M.S. et al., "10-amplifier Coherent Array Based on Active Integrated Optics," O.E. LASE Conference (sponsored by SPIE), Los Angeles, CA (Jan. 1990), Paper No. 1219-21.
		Zediker, M.S., et al., "Design Optimization of a 10 Amplifier Coherent Array," O.E. Laser Conference (sponsored by SPIE), Los Angeles, CA (Jan. 1991), Paper No. 1418-34.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,124,663	09/2000	Haake et al.			
	6,164,837	12/2000	Haake et al.			
	6,229,828	05/2001	Sanders et al.			
	6,253,011	06/2001	Haake			
	6,280,100	08/2001	Haake			
	6,281,997	08/2001	Alexander et al.			
	5,392,308	02/1995	Welch et al.			
	5,440,576	08/1995	Welch et al.			
	5,602,955	02/1997	Haake			
	5,606,635	02/1997	Haake			
	5,651,018	07/1997	Mehuys et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Kun H. No et al., "Monolithic Integration of an Amplifier and a Phase Modulator Fabricated in a GRINSCH-SOW Structure by Placing the Junction Below the Quantum Well," IEEE Photonics Technology Letters, Vol. 5, No. 9, pp. 990-993, Sept., 1993.

EXAMINER

DATE CONSIDERED

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